

(Please do not fax this form; an original is required.)

# Custodian's Certificate and Request for ACH on UGMA or UTMA Account

I hereby represent and certify to Ameritrade Clearing, Division of Ameritrade, Inc. ("Ameritrade") that I am the appointed custodian for \_\_\_\_\_, a minor, under the Uniform Gifts to Minors Act (the "UGMA") or the Uniform Transfers to Minors Act (the "UTMA"), as applicable, of the State of \_\_\_\_\_ and that such appointment and my acknowledged receipt thereof occurred in writing. I further represent and certify that I caused to be completed and I did sign the ACH Addendum to Account Application (the "ACH Addendum") hereto attached regarding Account Number \_\_\_\_\_.

In addition to the consideration for the ACH Addendum, and for such other good and adequate consideration which is hereby irrevocably acknowledged and confessed, I hereby induce Ameritrade to effect the instructions stated in the ACH Addendum and to do all acts thereto reasonably necessary and I irrevocably represent and certify that Ameritrade may justifiably rely upon each and every of the representations and certifications herein made in effecting such instructions and doing such acts.

I further hereby represent and certify that I am aware of my responsibilities as custodian for said minor under the UGMA or the UTMA as applicable, that I have control of the custodial property, that I have registered or recorded title to all custodial property in accordance with the UGMA or the UTMA as applicable and will continue to do so, that I have at all times kept the custodial property separate from all other property so that it can be clearly identified as the custodial property of the minor and I will continue to do so, that the Social Security number of the minor has been used and will continue to be used for the custodial property, that I have maintained and will continue to maintain records relating to transactions with respect to the custodial property, that I am fully aware of the types of transactions lawfully permitted in a custodial account under the UGMA or the UTMA as applicable, that the ACH arrangement set forth in the ACH Addendum involves a bank or other institutional account with registration and ownership identical to and conforming with the custodial account with Ameritrade, that any debits to said bank or institutional account or credits to said custodial account constitute irrevocable gifts under the UGMA or the UTMA as applicable, and that any said debits or credits are permissible transfers as defined by the UGMA or the UTMA as applicable.

In consideration of the foregoing, of the premises, and of the considerations above recited, I hereby agree to indemnify and hold harmless Ameritrade from, and to pay to Ameritrade promptly on demand, any and all losses, damages, fines, judgments, penalties, claims, suits, actions, demands, sums or other items arising, resulting or following from the effectuation by Ameritrade of the instructions stated within the ACH Addendum or of any acts reasonably necessary to the effectuation thereof, in whole or in part, including but not limited to attorneys' fees, court costs, litigation or other expenses and all monetary sums.

The representations, certifications, statements, recitations, terms, conditions and agreements set forth in this Request and Certification shall inure to the benefit of Ameritrade and of any successor firm irrespective of any change at any time in the personnel thereof for any cause whatsoever and of the assigns of Ameritrade or any successor firm. The representations, certifications, statements, recitations, terms, conditions and agreements set forth in this Request and Certification shall bind the undersigned custodian, any successor custodian, other successor fiduciary or any other successor in interest in the same manner and with the same force that the undersigned is hereby bound.

In consideration of your accepting and effecting this Request and Certification and the attached ACH Addendum, I hereby acknowledge and confess that I have read and fully understand and agree to the terms set forth in each of such Request and Certification and such ACH Addendum and the Standard Account Application (including terms relating to pre-dispute arbitration).

**Stop Here! Must be signed in the presence of a Notary Public.**

Custodian Print Name
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<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15px; text-align: center; vertical-align: middle;"><b>X</b></td> <td style="padding: 2px;">Custodian Signature</td> </tr> </table>	<b>X</b>	Custodian Signature	Date
<b>X</b>	Custodian Signature		

**Notary Public**

County of \_\_\_\_\_ )  
 ) ss  
 State of \_\_\_\_\_ )

Subscribed to and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
 Notary Public

[SEAL]